Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 26

Complete if Known	
Application Number	10/682,575
Filing Date	October 9, 2003
First Named Inventor	Ghatare, Sanhay P.
Art Unit	2165
Examiner Name	Farhan M. Syed
Attorney Docket Number	021756-014200US

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Examiner Initials*	Cite No.[1]	Document Number Number Kind Code[2] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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				Art Unit	2165
(Use as many sheets as necessary)				Examiner Name	Farhan M. Syed
Sheet	2	of	26	Attorney Docket Number	021756-014200US

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	C43	"DNS - Contents," http://www2.rad.com/networks/1998/dns/main.htm, 15 pages, December 7, 1999	☐
	C44	Barrett, Debbie, "Diary Of A Break-And-Enter, Cyber Style," Technology in Government, p. 22, January 2000	☐
	C45	Blakeley, Jose A., "Universal data access with OLE DB", Microsoft Corporation, February 23, 1997, pgs. 2-7, ISBN: 0-8186-7804-6, 1063-6390/97 - Compcon 1997 Proceedings, 1997 IEEE Computer Society, US.	☐
	C46	Chaudhuri, S., et al., "An Overview of Data Warehousing and OLAP Technology," SIGMOD Record, vol. 26, no. 1, pages 65-74, 2000.	☐
	C47	Cholter, William La et al., "IBAN: Intrusion Blocker Based On Active Networks," Proceedings of the DARPA Active Networks Conference and Exposition (DANCE'02), 11 pages, 2002	☐
	C48	Easter, C., "Method To Report Access Control Of LAN Server Resources On A Per User Basis," IBM Technical Disclosure Bulletin, p. 172, April 1992	☐
	C49	Farjami, P. et al., "Advanced service provisioning based on mobile agents", Computer Communications, Vol. 23, No. 8, April 2000, Elsevier Science B.V., pgs. 754-760.	☐
	C50	Florescu, Daniela, et al., "A Performance Evaluation of Alternative Mapping Schemes for Storing XML Data in a Relational Database," Technical Report, INRIA, France, May 1999, pages 1-3.	☐
	C51	Foster, Ian et al., "A Security Architecture for Computational Grids", XP-002473964, 5th ACM Conference on Computer and Communication Security, ACM 1998, pgs. 83-92.	☐
	C52	Good, G., "The LDAP Data Interchange Format (LDIF) - Technical Specification," RFC 2849, 14 pages, June 2000	☐

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Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				**Application Number**	10/682,575
				Filing Date	October 9, 2003
				First Named Inventor	Ghatare, Sanhay P.
				Art Unit	2165
(Use as many sheets as necessary)				Examiner Name	Farhan M. Syed
Sheet	9	of	26	Attorney Docket Number	021756-014200US

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	C53	Hayes, Jeff, "Policy-Based Authentication And Authorization: Secure Access To The Network Infrastructure," IEEE, pp. 328-333, 2000	☐
	C54	Hewlett-Packard, "HP Introduces Next-Generation Web Authorization Products For E-Business," Press Release, 3 pages, January 18, 1999	☐
	C55	Hewlett-Packard, "HP Introduces Security For Microsoft NT Extranets, Portals And E-Services," Press Release, 3 pages, January 17, 2000	☐
	C56	Hewlett-Packard, "HP Provides Unprecedented Range Of Authentication Options," Press Release, 3 pages, September 1, 1999	☐
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	C58	Hodges, J. et al., "Lightweight Directory Access Protocol (v3): Extension For Transport Layer Security," RFC 2830, 12 pages, May 2000	☐
	C59	Howard, L., "An Approach For Using LDAP As A Network Information Service," RFC 2307, 20 pages, March 1998	☐
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	C64	Luciani, J. et al., "Server Cache Synchronization Protocol (SCSP)," RFC 2334, 39 pages, April 1998	☐
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	C66	Moridera, Akio et al., "The Network Paradigm of the 21st Century and its Key Technologies", Vol. 38, No. 11, November 11, 2000, IEEE Comunications Magazine, pgs. 94-98, ISSN: 0163-6804.	☐
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	C68	Nenad Medvidovic et al., "Modeling Software Architectures in the Unified Modeling Language," ACM, January 2002, pp. 2-57.	☐
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	C70	Oblix, Inc., "Oblix CSA Solution Administration Guide," Version 3.5, 328 pages, 1999	☐
	C71	Park, Joon S. et al., "Secure Cookies On The Web," IEEE Internet Computing, pp. 36-44, July/August 2002	☐
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	C78	Sun Microsystems, Inc., "Appendix B - ACL File Syntax," iPlanet Web Server: FastTrack Edition Administrator's Guide, 7 pages, July 13, 2000	☐
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	C121	US Patent Application No. 09/792,911, Office Action dated December 2, 2005, 13 pages	☐
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	C132	US Patent Application No. 09/792,934, Final Office Action dated June 2, 2005, 10 pages	☐

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. [1] Applicant's unique citation designation number (optional). [2] See Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				**Application Number**	10/682,575
				Filing Date	October 9, 2003
				First Named Inventor	Ghatare, Sanhay P.
				Art Unit	2165
(Use as many sheets as necessary)				Examiner Name	Farhan M. Syed
Sheet	17	of	26	Attorney Docket Number	021756-014200US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[6]
	C133	US Patent Application No. 09/792,934, Office Action dated August 19, 2005, 5 pages	☐
	C134	US Patent Application No. 09/792,934, Office Action dated September 21, 2004, 19 pages	☐
	C135	US Patent Application No. 09/793,196, Advisory Action dated July 21, 2005, 3 pages	☐
	C136	US Patent Application No. 09/793,196, Final Office Action dated March 8, 2005, 15 pages	☐
	C137	US Patent Application No. 09/793,196, Final Office Action dated May 31, 2006, 22 pages	☐
	C138	US Patent Application No. 09/793,196, Office Action dated December 13, 2005, 12 pages	☐
	C139	US Patent Application No. 09/793,196, Office Action dated July 14, 2004, 19 pages	☐
	C140	US Patent Application No. 09/793,196, Office Action dated November 21, 2006, 11 pages	☐
	C141	US Patent Application No. 09/793,320 (Atty. Ref. No. 021756-011500US), 2nd or Subsequent Examiner's Answer to Appeal Brief dated April 21, 2009.	
	C142	US Patent Application No. 09/793,320 (Atty. Ref. No. 021756-011500US), Advisory Action dated June 7, 2006, 5 pages	☐

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	C143	US Patent Application No. 09/793,320 (Atty. Ref. No. 021756-011500US), Examiner's Reply Brief dated March 7, 2007	☐
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	C146	US Patent Application No. 09/793,320, Office Action dated August 4, 2004, 18 pages	☐
	C147	US Patent Application No. 09/793,320, Office Action dated September 20, 2005, 15 pages	☐
	C148	US Patent Application No. 09/793,354, Advisory Action dated December 15, 2005, 3 pages	☐
	C149	US Patent Application No. 09/793,354, Final Office Action dated April 19, 2004, 15 pages	☐
	C150	US Patent Application No. 09/793,354, Final Office Action dated August 26, 2005, 9 pages	☐
	C151	US Patent Application No. 09/793,354, Office Action dated January 4, 2005, 11 pages	☐
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	C153	US Patent Application No. 09/793,355 (Atty. Ref. No. 021756-011100), Appeal Brief dated July 29, 2008, 31 pgs.	☐
	C154	US Patent Application No. 09/793,355 (Atty. Ref. No. 021756-011100), Reply Brief dated December 22, 2008, 6 pgs.	☐
	C155	US Patent Application No. 09/793,355 (Atty. Ref. No. 021756-011100US), Final Office Action dated February 9, 2006, 15 pages	☐
	C156	US Patent Application No. 09/793,355 (Atty. Ref. No. 021756-011100UUS), Advisory Action dated May 2, 2006, 3 pages	☐
	C157	US Patent Application No. 09/793,355, Advisory Action dated June 21, 2005, 7 pages	☐
	C158	US Patent Application No. 09/793,355, Final Office Action dated April 6, 2005, 16 pages	☐
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	C160	US Patent Application No. 09/793,355, Office Action dated September 7, 2005, 13 pages	☐
	C161	US Patent Application No. 09/793,658, Advisory Action dated January 31, 2006, 3 pages	☐
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	C163	US Patent Application No. 09/793,658, Office Action dated September 9, 2004, 14 pages	☐
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	C165	US Patent Application No. 09/814,091, Advisory Action dated July 5, 2005, 3 pages	☐
	C166	US Patent Application No. 09/814,091, Final Office Action dated April 8, 2005, 24 pages	☐
	C167	US Patent Application No. 09/814,091, Office Action dated July 14, 2004, 22 pages	☐
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	C173	US Patent Application No. 09/886,515, Office Action dated December 28, 2004, 35 pages	☐
	C174	US Patent Application No. 10/314,888 (Atty. Ref. No. 021756-014107), Advisory Action dated May 30, 2008, 3 pages.	☐
	C175	US Patent Application No. 10/314,888 (Atty. Ref. No. 021756-014107), Office Action dated July 27, 2007, 37 pages	☐
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	C177	US Patent Application No. 10/325,465 (Atty. Ref. No. 021756-014110), Advisory Action dated June 19, 2007, 3 pages	☐
	C178	US Patent Application No. 10/325,465 (Atty. Ref. No. 021756-014110), Final Office Action dated April 4, 2007, 25 pages	☐
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	C181	US Patent Application No. 10/327,607 (Atty. Ref. No. 021756-014104), Final Office Action dated October 10, 2006, 33 pages	☐
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				Art Unit	2165
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	C183	US Patent Application No. 10/327,607 (Atty. Ref. No. 021756-014104US), Advisory Action dated February 4, 2008, 3 pages	☐
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	C188	US Patent Application No. 10/345,879 (Atty. Ref. No. 021756-014108), Final Office Action dated May 17, 2007, 39 pages	☐
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				First Named Inventor	Ghatare, Sanhay P.
				Art Unit	2165
(Use as many sheets as necessary)				Examiner Name	Farhan M. Syed
Sheet	23	of	26	Attorney Docket Number	021756-014200US

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	C193	US Patent Application No. 10/354,914 (Atty. Ref. No. 021756-014103), Final Office Action dated April 5, 2007, 9 pages	☐
	C194	US Patent Application No. 10/354,914 (Atty. Ref. No. 021756-014103), Office Action dated September 22, 2006, 8 pages	☐
	C195	US Patent Application No. 10/354,914 (Atty. Ref. No. 021756-014103US), Notice of Allowance dated March 12, 2008, 11 pages.	☐
	C196	US Patent Application No. 10/682,252 (021756-014400), Non-Final Rejection dated June 8, 2009, 27 pages	☐
	C197	US Patent Application No. 10/682,252 (021756-014400), Office Action dated April 27, 2007, 26 pages	☐
	C198	US Patent Application No. 10/682,252 (Atty. Ref. No. 021756-014400), Advisory Action dated December 12, 2008, 3 pgs.	☐
	C199	US Patent Application No. 10/682,252 (Atty. Ref. No. 021756-014400), Final Office Action dated September 20, 2006, 25 pgs.	☐
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	C203	US Patent Application No. 10/682,252 (Atty. Ref. No. 021756-014400US), Final Office Action dated September 23, 2008, 24 pages	☐
	C204	US Patent Application No. 10/682,252 (Atty. Ref. No. 021756-014400US), Office Action dated January 23, 2008, 24 pages	☐
	C205	US Patent Application No. 10/682,330 (021756-014500), Advisory Office Action dated December 12, 2006, 3 pages	☐
	C206	US Patent Application No. 10/682,330 (021756-014500), Office Action dated April 17, 2006, 26 pages	☐
	C207	US Patent Application No. 10/682,330 (021756-014500), Office Action dated March 22, 2007, 21 pages	☐
	C208	US Patent Application No. 10/751,333 (021756-015100) Office Action dated February 13, 2007, 14 pages	☐
	C209	US Patent Application No. 10/866,508 (Atty. Ref. No. 021756-015200US), Office Action dated December 12, 2007, 11 pages	☐
	C210	US Patent Application No. 10/952,592 (Atty. Ref. No. 021756-014600), Advisory Action dated April 13, 2009, 3 pages	☐
	C211	US Patent Application No. 10/952,592 (Atty. Ref. No. 021756-014600US), Advisory Action dated June 27, 2008, 3 pages	☐
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	C213	US Patent Application No. 10/952,592 (Atty. Ref. No. 021756-014600US), Final Rejection dated April 16, 2008, 22 pages	☐
	C214	US Patent Application No. 10/952,592 (Atty. Ref. No. 021756-014600US), Final Rejection dated February 3, 2009, 24 pages	☐
	C215	US Patent Application No. 10/952,592 (Atty. Ref. No. 021756-014600US), Non-Final Rejection dated September 18, 2008, 22 pages	☐
	C216	US Patent Application No. 10/952,592 (Atty. Ref. No. 021756-014600US), Notice of Allowance dated 07/23/09, 4 pages	☐
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	C219	US Patent Application No. 11/542,311 (Atty Ref. No. 021756-010710US), Office Action dated June 8, 2007, 25 pages	☐
	C220	US Patent Application No. 11/542,311 (Atty. Ref. No. 021756-010710US), Final Office Action dated September 11, 2007, 14 pages	☐
	C221	US Patent Application No. 11/542,311 (Atty. Ref. No. 021756-010710US), Notice of Allowance dated February 11, 2008, 7 pages.	☐
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	C226	Wahl, M. et al., "Authentication Methods For LDAP," RFC 2829, 16 pages, May 2000	☐
	C227	Wahl, M. et al., "Lightweight Directory Access Protocol (v3)," RFC 2251, 48 pages, December 1997	☐
	C228	Wu, Kun-Lung et al., "Personalization With Dynamic Profiler," IEEE, pp. 12-20, 2001	☐
	C229	Yaacovi, Y. et al., "Lightweight Directory Access Protocol (v3): Extensions For Dynamic Directory Services," RFC 2589, 12 pages, May 1999	☐

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